Case Docket Number: 60188-715

Customer Number: 20277

UTILITY PATENT APPLICATION UNDER 37 CFR 1.53(b)

Mail Stop New Utility Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:



Transmitted herewith for filing is the patent application of:

INVENTOR: Shuichi NAGAI

FOR: OPTICAL FUNCTIONAL DEVICE AND OPTICAL MODULE

| Enclos | ed are: |
|-------------|---|
| \boxtimes | 33 pages of specification, claims, abstract. |
| \boxtimes | Declaration and Power of Attorney. |
| \boxtimes | Priority Claimed. |
| | Certified copy of Japanese Patent Application No. JP 2002-340703 |
| \boxtimes | 11 sheets of formal drawing. |
| \boxtimes | An assignment of the invention to MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. |
| | and the assignment recordation fee. |
| \boxtimes | An associate power of attorney. |
| | A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. |
| N X | Information Disclosure Statement, Form PTO-1449 and reference. |
| ∇ | Return Receipt Postcard |

The filing fee has been calculated as shown below:

| | NO. OF | | EXTRA | | - 14 W |
|---|--------|-----|--------|-----------|------------|
| | CLAIMS | | CLAIMS | RATE | AMOUNT |
| Total Claims | 18 | -20 | 0 | \$18.00 | \$0.00 |
| Independent Claims | 7 | -3 | 4 | \$86.00 | \$344.00 |
| Multiple Dependent Claim(s) | | | | \$0.00 | |
| Basic Fee Total of Above Calculations Less ½ for Small Entity | | | | | \$770.00 |
| | | | | | \$1,114.00 |
| | | | | | \$0.00 |
| Assignment & Recording Fee | | | | | \$40.00 |
| | 10. | | | Total Fee | \$1,154.00 |

| \boxtimes | Please charge my Deposit Account No. 500417 in the amount of \$1,154.00. A duplicate copy of this sheet is enclosed. |
|-------------|--|
| \boxtimes | The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. |
| \boxtimes | 500417. A duplicate copy is enclosed. Any additional filing fees required under 37 CFR 1.16. |
| _ | |
| \bowtie | The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. |
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| | Any patent application processing fees under 37 CFR 1.17. |
| \boxtimes | Any filing fees under 37 CFR 1.16 for presentation of extra claims. |

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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Date: November 21, 2003